

Brion D. Ogonowsky

Carmen C. Cook

David C. Hsia

Rachel V. Leiterman



November 28, 2005

Mail Stop Amendment
 Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

Re: Applicant(s): Regina B. Mueller-Mach, Gerd O. Mueller
 Assignee: Lumileds Lighting, U.S., LLC
 Title: Phosphor for Phosphor-Converted Semiconductor Light Emitting Device
 Serial No.: 10/829,140
 Examiner: Canning, Anthony J. Filed: April 21, 2004
 Docket No.: LUM-03-01-02 Group Art Unit: 2879

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter;
- (3) Information Disclosure Statement (1 page);
- (4) Forms PTO/SB/08A and PTO/SB/08B citing 4 references;
- (5) Two (2) cited references;
- (6) Copies of the cited US Patents documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

- ☒ No additional fee is required.
☐ The fee has been calculated as shown below:

| | | | |
|-------------------------------------|--|----|------|
| <input type="checkbox"/> | Please charge our Deposit Account No. 502226 in the amount of | \$ | 0.00 |
| <input checked="" type="checkbox"/> | Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested. | | |
| <input checked="" type="checkbox"/> | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 502226. | | |
| Total: \$ | | | 0.00 |

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendments, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

R. Leiterman 11/28/05
 Attorney for Applicant(s) Date

Respectfully submitted,

R. Leiterman
 Rachel V. Leiterman
 Attorney for Applicant(s)
 Reg. No. 46,868



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Regina B. Mueller-Mach, Gerd O. Mueller
 Assignee: Lumileds Lighting, U.S., LLC
 Title: Phosphor for Phosphor-Converted Semiconductor Light Emitting Device
 Serial No.: 10/829,140 Filing Date: April 21, 2004
 Examiner: Canning, Anthony J. Group Art Unit: 2879
 Docket No.: LUM-03-01-02

San Jose, California
 November 28, 2005

Mail Stop Amendment
 Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
 UNDER 37 C.F.R. § 1.97(c) - NO FEE
 WITH CERTIFICATION UNDER 37 CFR § 1.97(e)(1)**

Dear Sir:

Pursuant to 37 C.F.R. § § 1.56, 1.97 and 1.98, Applicants wish to call the documents listed on the enclosed forms PTO/SB/08A and PTO/SB/08B to the Examiner's attention for the above-identified patent application. Copies of the foreign documents are enclosed.

Copies of the cited US Patents documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived. The documents were first cited by in a corresponding European Application No. 05103068.2 on October 20, 2005.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or

3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

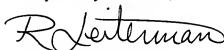
The undersigned hereby certifies in accordance with § 1.97(e)(1) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendments, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.


Attorney for Applicant(s)

11/28/05
Date

Respectfully submitted,



Rachel V. Leiterman
Attorney for Applicants
Reg. No. 46,868

PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

(Use as many sheets as necessary)

| | | | |
|-------|---|----|---|
| Sheet | 1 | of | 2 |
|-------|---|----|---|

Complete if Known

| | |
|------------------------|------------------------|
| Application Number | 10/829,140 |
| Filing Date | April 21, 2004 |
| First Named Inventor | Regina B. Mueller-Mach |
| Art Unit | 2879 |
| Examiner Name | Canning, Anthony J. |
| Attorney Docket Number | LUM-03-01-02 |

[illegible]

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|----------|--|--------------------------------|---|---|----|
| Examiner Initials* | Cite No. | Foreign Patent Document Country Code* Number* Kind Code* (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | 1* |
| /JW/ | | WO 2004/079790 A2 | 9-16-2004 | Sarnoff Corporation | | |
| /JW/ | | WO 2004/084261 A2 | 9-30-2004 | Philips Intellectual | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

Examiner
Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). *See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St.16 if possible. *Applicant is to place a check mark here if English language

Transmittal is advised.

Collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO) an application. Confidentiality is governed by 35 U.S.C. 42 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. The collection of information is required for the purpose of gathering, preparing, submitting the form and/or application to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or application for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

/Joseph Williams/ (06/10/2008)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

(Use as many sheets as necessary)

| | | | |
|-------|---|----|---|
| Sheet | 2 | of | 2 |
|-------|---|----|---|

| | |
|-------------------------------|------------------------|
| Application Number | 10/829,140 |
| Filing Date | April 21, 2004 |
| First Named Inventor | Regina B. Mueller-Mach |
| Art Unit | 2879 |
| Examiner Name | Canning, Anthony J. |
| Attorney Docket Number | LUM-03-01-02 |

[illegible]

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached

This collection of information is required by 37 CFR 1.96. The information is required to obtain or retain a benefit by the public which is to file (and) by the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.